

Missouri
Department
of Transportation



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Kevin L. Keith, Interim Director

REQUEST FOR PROPOSALS

INVESTMENT BANKING SERVICES – RFP 6-100927FR

LIST OF OFFERORS

September 29, 2010

The below list contains the names of the firms who submitted proposals on the above referenced RFP. These proposals are currently under review and results will be made available upon issuance of such notifications by the MHTC.

OFFERORS:

Firm	Proposal	Firm	Proposal
Backstrom, McCarley Berry & Co., LLC	Yes	Morgan Keegan & Co., Inc.	Yes
Bank of America Merrill Lynch	Yes	Morgan Stanley & Co. Incorporated	Yes
Barclays Capital	Yes	Oppenheimer & Co. Inc.	Yes
BMO Capital Markets	Yes	Piper Jaffray & Co.	Yes
Central Trust Bank	Selling Group	RBC Capital Markets	Yes
Citigroup Global Markets Inc.	Yes	Rice Financial Products Company	Yes
Edward Jones	Yes	Robert W. Baird & Co., Inc.	Yes
Fidelity Capital Markets	Yes	Siebert Brandford Shank & Co., L.L.C.	Yes
George K. Baum & Company	Yes	Stern Brothers & Co.	Yes
Grigsby & Associates, Inc.	Yes	Sterne, Agee & Leach, Inc.	Yes
J.P. Morgan Securities, LLC	Yes	Stifel Nicolaus & Company, Incorporated	Yes
Jackson Securities, LLC	Yes	The Williams Capital Group, L.P.	Yes
Jefferies Municipal Securities Group	Yes	Valdes & Moreno, Incorporated	Yes
Loop Capital Markets, LLC	Yes	Wells Fargo Securities	Yes

BUYER OF RECORD:

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