

## **Local Programs Annual Financial Pre-Qualification Process**

The Missouri Department of Transportation is performing financial pre-qualifications for engineering, architectural and land survey consultants who wish to provide professional services to a Local Public Agency.

The pre-qualification process was developed to comply with new AASHTO Guidelines published in the Uniform Audit & Accounting Guide-2010 Edition. This publication will be incorporated into 23 CFR. All pre-qualification information submitted by your firm is for internal department use and is kept confidential.

### **Pre-Qualification Information**

Thank you for your interest in providing professional services to a Local Public Agency. The Department requires all consultants adhere to the guidelines set forth by the Department before being considered a pre-qualified consultant. These guidelines will give you the information you need to submit a complete pre-qualification application.

The pre-qualification application consists of the following:

Direct questions for items A and B to Sandra Riley, Design Division (573) 522-2002

- A. Secretary of State Certificate of Good Standing—Contact the Secretary of State Office toll free at (866) 223-6535 or go to <http://www.sos.mo.gov>  
Missouri Revised Statutes, Chapter 351, General and Business Corporations  
<http://www.moga.mo.gov/statutes/c351.htm>
- B. Annual Worker Eligibility Affidavit with a copy of your firms E-Verify MOU signed by your firm and the Department of Homeland Security (DHS).  
<http://www.moga.mo.gov/statutes/c200-299/2850000530.htm>  
[DHS Federal Work Authorization Program E-Verify](#)  
[Missouri Attorney General's Office State Code of Regulation and Affidavit of Compliance](#)

Direct questions for items C through G to External Audits (573) 526-1244

- C. Overhead Rate Information  
[Instructions for Submitting Overhead Rate Information](#)
- D. [Summary Information Sheet](#)
- E. [Internal Control Questionnaire \(ICQ\) for Consulting Engineers](#)  
[Instructions for Completing the ICQ](#)
- F. Compensation Analysis  
[Instructions for Compensation Analysis](#)
- G. Management Representation and Certification  
[Management Representation Certification](#)

Completed forms and accompanying information should be submitted as follows:

Electronic Submissions are encourage with each form as a separate file: [Sandra.Riley@modot.mo.gov](mailto:Sandra.Riley@modot.mo.gov)

Or mail to: MoDOT  
Design Division – ATTN: Sandra Riley  
P.O. Box 270  
105 West Capitol  
Jefferson City, MO 65102-0270

The Department will examine the application and notify the company of any apparent errors or omissions and request any additional information required by the Department to properly evaluate the application. The company must submit any requested information to the Department within 30 days of receipt of the Department's request for such information.

Companies must submit revised information in the event of a change in status of the firm, including change of ownership, change in the form of the business entity under which the firm operates, significant change in staff used to qualify the firm to perform any type of work or any other change which would significantly change the information already provided.